## ....

mventor(s):

John S. HENDRICKS

Confirmation No.: 5653

Application No.:

: 09/966,594

Examiner:

Filing Date:

October 1, 2001

Group Art Unit: 2611

Title:

Sir:

SET TOP TERMINAL FOR ORGANIZING PROGRAM OPTIONS AVAILABLE IN TELEVISION DELIVERY SYSTEM

Gloup Art Offic. 201

Commissioner for Patents

P.O. Box 1450 Alexandria, VA 22313-1450 RECEIVED

## INFORMATION DISCLOSURE STATEMENT

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 0 6 2004 Technology Center 2600

This Information Disclosure Statement is Submitted:

under 37 CFR 1.97(b), or

(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)

under 37 CFR 1.97(c) together with either a:

Statement under 37 CFR 1.97(e), or

a \$180.00 fee under 37 CFR 1.17(p), or

(after the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

under 37 CFR 1.97(d) together with a:

Statement under 37 CFR 1.97(e)(1) or (2), and a \$180.00 petition fee set forth in 37 CFR 1.17(p).

(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account 50-2849 the sum of \$\_\_\_\_\_. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 50-2849 pursuant to 37 CFR 1.25.

Applicant(s) submit herewith Form(s) PTO 1449 – References listed were disclosed in Patent Application No. 07/991,074, filed 12/9/1992, which in turn is related to Patent Nos. 5,798,795, 56,500,364,5659,350, 5,734,853; 5,990,927; 5,682,195; 5,559,549; 6,557,173; 5,201,536; 5,600,573; 6,408,437; 6,160,989; 6,559,484; 6,515,680; 6,052,554; 6,463,585; 6,181,335, and 6,675,386, and, as such, copies thereof are not included in this Information Disclosure Statement in view of 37 CFR 1,98(d).

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, and English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted.

Kelly T Lee

Attorney/Agent for Applicant(s) Reg. No. 47,743

Suite 300 Washington, D.C. 20006

ANDREWS KURTH LLP
Intellectual Property Department

1701 Pennsylvania Avenue, N.W.

Date: May 5, 2004

Telephone No.: (202) 662-2700 Facsin州 No.: (202) 662-2700 Facsin州 Nor世界上Noe 世界上記書 CONSIDERED EXCEPT WHERE LINED THROUGH. /J.S./

# PATENT APPLICATION Sheet 1 of 27

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EXAMINER

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APPLICATION NO. | CONFIRMATION NO. 5653

APPLICANT John S. HENDRICKS

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	1C	5,365,265	Nov	ember 15, 1	1994	Shibat	a et	al.			
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	1M	WO 80/0020	9	February	7, 1980	) E	Block	(			
	1N	EP 0158767	,	October 2	3, 198	5 Stur	gis e	t al.			
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	1R	SuperVGA I	Displa	y Product:	s User	's G	uide, 1 <sup>st</sup> Ec	lition, ©	DNokia Data AB I	991				
	15	Lieferschein	Nr. 2	0139924,	Produ	ct D	escription,	Octobe	er 28, 1991					
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	1A	5,221,962		June 22, 199	3 Backus et a			t al.				
	1B	5,438,372	A	August 1, 19	95		Tsumori e	t al.	F	RECE	ΞIVE	
	1C	4,739,510		April 19, 198	88		Jeffers et	al.	MAY 0 6 200			4
	1D	5,003,384	N	March 26, 19	91		Durden et	t al.				
	1E	5,432,561		July 11, 199	5		Strubbe	e	Tec	hnology	Cente	<u>r 2</u> 600
	1F	5,339,239	A	ugust 16, 19	94		Manabe e	t al.				
	1G	5,805,204	Se	ptember 8, 1	998	Thompson et al.						
	1H	4,985,697	Ja	nuary 15, 19		Boultor	1					
	11	5,737,725		April 7, 199		Case						
	1J	5,799,157	A	ugust 25, 19	998		Escallo	n				
	1K	5,917,915	J	June 29, 1999			Hirose	;				
	1L	5,890,122	N	larch 30, 19	99	99 Van Kleeck, et al.						
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	1M	WO 98/0834	4	February 2	26, 199	8	Sachs	et al.				
	1N	WO 98/0283	6	January 2	2, 199	В	Cars	on				
	10	EP 0838798	3	March 29	9, 1998		L'Allier	et al.				
	1P	GB 2269302	2	February	2, 199	4	Tanaka	et al.				
	1Q	JP 9-28457	1	October 3	31, 199	7	Kiyo	shi				_
	c	THER REFER	ENC	ES (includin	ng Auth	or,	Title, Date,	Pertine	ent Pages	etc.)		
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	1A	6,052,717	,	April 18, 200	00	Reynolds 6						٦
	1B	6,034,680	N	March 7, 200	00	۲	Kessenich,	et al.		REC	:FI\	<b>/</b>
	1C	5,446,490	Α	ugust 29, 19	95		Blahut et	al.				
	1D	4,751,578		June 14, 198	38		Reiter et	al.		MAY	062	<del>uup</del>
	1E	3,860,873	Ja	nuary 14, 19	975		Ringsta	d	J	echnolo	gy Cer	iter 2
	1F	5,742,680	,	April 21, 199	98	Wilson						٦
	1G	5,115,426		May 19, 199	12		Spanke					٦
	1H	5,844,600	De	cember 1, 1	998	Kerr						٦
	11	5,384,588	Ja	inuary 24, 19	995	Martin et al.						٦
	1J	5,124,980		June 23, 199	92	Maki						٦
	1K	4,533,948	,	April 30, 198	32	McNamara et al.						٦
	1L	4,805,014	Fe	bruary 14, 1	989	Sahara et al.						٦
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	1M	JP 7-235909	)	Septembe	r 5, 199	5	Tosh	io				
	1N	WO 97/2027	4	June 5,	1997		Myny	an				٦
	10	EP 0924687		June 23	, 1999		Vergo e	et al.				٦
	1P	EP 0892388		January 2	20, 1999	•	Juang e	et al.				
	1Q	WO 97/2211	0	June 19	, 1997		Huffman	et al.				٦
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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT ATTY. DOCKET NO. APPLICATION NO. CONFIRMATION NO. 3698.D10 09/966,594 5653
APPLICANT JOHN S. HENDRICKS
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	DISCLOSURE STATEMENT					G DA		GROUP		
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	1A	5,815,671	Sep	tember 29,	1998		Morriso	n		
	1B	6,029,045	Fe	bruary 22, 2	000		Picco et	al.	REC	EIVE
1	1C	5,774,170	,	June 30, 199	98	Hite et al.			MAY (	6 2004
1	1D	4,930,160		May 29, 199	10	Vogel				
1	1E	5,389,964	Fe	bruary 14, 1	995	Oberle et al.			Technolog	y Center
	1F	5,512,934		April 30, 199	96	Kochanski				
1	1G	4,674,085	٠.	June 16, 198	37	Aranguren et al.				
	1H	4,816,904	N	larch 28, 19	89		McKenna e	et al.		
	11	5,953,485	Sep	tember 14,	1999	Abecassis				
	1J	6,166,778	De	cember 26, 2	2000	Yamamoto et al.				
	1K	6,163,316	De	December 19, 2000			Killian			
	1L 6,204,885 March 20, 2				01		Kwoh			
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		DOCUMENT NUM	BER	PUBLICATION	ON DAT	E	NAME PATENTE APPLIC	E OR	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
1	1M	JP 6-134489	)	January 1	12, 1996	6	Tomol	niro		
1	1N	WO 99/4549	1	September	10, 19	99	Eberhard	l et al.		
1	10	WO 94/0732	7	March 31	1, 1994		Marasovic	h, et al.		
	1P	WO 99/1870	1	April 15	, 1999		Henderson	n, et al.		
1	1Q	WO 97/4168	8	November	r 6, 199	7	D'Agos	stino		
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	1A	5,341,426	А	ugust 23, 19	94	Barney et al.						
	1B	4,331,974		May 25, 198	2		Cogswell e	t al.		REC	EIVE	D
	1C	5,600,364	Fe	ebruary 4, 19	997		Hendricks e	et al.			0 6 2004	_
	1D	5,192,999	-	March 9, 199	93		Graczyk e	t al.		*****		
	1E	5,247,575	Sep	tember 21,	1993		Sprague e	t al.	To	echnolog	y Center :	2600
	1F	5,347,304	Sep	tember 13,	1994		Moura et	al.				
	1G	5,421,031		May 30, 199	15	De Bey						
	1H	5,600,775	Fe	ebruary 4, 1	997	King, et al.						
	11	5,929,849		July 27, 199	9		Kikinis					
	1J	5,600,368	Fe	ebruary 4, 19	997	7 Matthews, III						
	1K	4,779,138	0	ctober 18, 1	988	Nomura, et al.						
	1L	5,957,695	Sep	tember 28,	1999		Redford, e	t al.				
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		DOCUMENT NUM	BER	PUBLICATI	ON DATE	E	NAME OF PATENTEE OR APPLICANT		Pages/Colu Where R Passages/Fig	lelevant	Check if Translation attached	
	1M	JP 40805161	14	February	20, 199	6	Inaga	ki				
	1N	JP 41028556	88	October 2	23, 1998	В	Shish	ino				
	10	JP 40822832	28	Septembe	r 3, 199	96	Fujio	ka				
	1P	WO 96/1730	16	June 6	1996		Laursen,	et al.				
	1Q	EP 070249	1	March 2	0, 1996		Belknap,	et al.				
	(	OTHER REFER	ENCI	ES (includir	ng Auth	or,	Title, Date,	Pertine	nt Pages	etc.)		
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	1A	5,986,690	No	vember 16,	1999	999 Hendricks					1
	1B	5,600,573	Fe	ebruary 4, 1	997	Hendricks,	et al.		REC	EIV	₽n
	1C	5,528,281	,	June 18, 199	96	Grady et	al.				Τ-
	1D	4,835,607		May 30, 198	19	Keith				0 6 20	
	1E	5,479,508	De	cember 26,	1995	Bestler, et	al.	٦	echnolo	gy Cent	ar 2600
	1F	3,647,977	1	March 7, 19	72	Closs					1
	1G	5,508,733		April 16, 199	96	Kassatl	y				
	1H	5,805,677	Se	ptember 8,	1998	Ferry et a	al.				
	11	5,640,196	,	June 17, 199	97	Behrens et	t al.				
	1J	6,252,690		June 26, 200	01	Laine	Laine				
	1K	5,497,187	-	March 5, 199	96	Banker et					
	1L	4,558,464	De	cember 10,	1985	O'Brien	Jr.				
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	1M	JP 4233886	i	June 25	, 1991	Kauffmar	n et al.				
	1N	WO 98/1099	7	January 1	15, 1998	Kreb	s				
	10	WO 96/4147	3	December	19, 199	6 Ilcisin, e	et al.				
	1P	WO 99/2641	5	May 27	, 1999	Bar-I	EI				
	1Q	WO 99/6671	9	December	23, 199	9 Zigmond	, et al.				
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	1A	5,241,428	Α	ugust 31, 19	93		G	oldwasser	et al.					
	1B	6,154,633	Nov	ember 28, 2	200	00	L	_andgraf e	t al.	RECEIV				<u>F</u> D
	1C	5,539,449		July 23, 199	6			Blahut et	al.					
	1D	5,850,218	Dec	cember 15,	199	8		LaJoie et	al.		MAY	0 6	200	7
	1E	4,673,976		June 16, 198	37		W	/reford-Ho	ward	Ţ	echnolog	у С	ente	2600
	1F	5,367,330	Nov	ember 22,	199	94		Haave, et	al.					
	1G	4,802,008	Ja	nuary 31, 1	989	9 Walling								
	1H 6,101,324			ugust 8, 20	00		-	Connell, e	al.					
	11 5,206,929			April 27, 199	93		L	angford, e	t al.					
	1J 4,455,570			June 19, 198			Saeki, et	al.						
	1K	5,212,553		May 18, 1993			Maruok	9						
	1L	4,546,382	0	October 8, 1985			McKenna, et al.		et al.					
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	1M	EP 0821522	2	January 2	28,	1998		Sato, e	t al.					
	1N	EP 0734157	,	September	25	, 199	96	Oya, e	t al.					
	10	EP 0845904	1	June 3,	19	98	П	Suzuki	et al.					1
	1P	WO 99/5228	5	October 1	14,	1999		Hendricks	, et al.					1
	1Q	GB 2344009	,	May 24	, 20	000		Tuttlebee	et al.					1
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	1A	5,055,924	О	ctober 8, 19	91		Skutta					
	1B	5,329,590		July 12, 199	4		Pond		RECEI			En
	1C	5,479,266	De	cember 26,	1995		Young, et	al.		<del>JEIV</del>	ED	
	1D	6,025,837	Fe	bruary 15, 2	000	N	Matthews, III	et al.		0 6 20	D4	
	1E	5,426,699	٠.	June 20, 199	95	١	Wunderlich	et al.		Technolo	gy Cent	er 2600
	1F	5,317,391		May 31, 199	14		Banker et	al.				
	1G	5,675,390	О	ctober 7, 19	97		Schindler e	et al.				1
	1H	5,374,951				Welsh						1
	11	5,729,471	March 17, 1998				Jain et a	ıl.				1
	1J	5,537,141				6 Harper						]
	1K	5,703,965	De	cember 30,	1997	Fu et al.						
	1L	5,838,368	No	vember 17,	1998 Masunaga et a			et al.				
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	1M	WO 98/4856	6	October 2	9, 1998	3	Mankovitz					
	1N	JP 6014308	6	July 29	1985		Hiroy	uki				
	10	EP 0835915	5	April 8,	1998		Alfre	:d				
	1P	EP 0586954	1	March 1	5, 1994		lgleh	art				
	1Q	DE 1975574	2	June 17	, 1999		Blaw	at				
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PATENT APPLICATION Sheet 19of 27 ATTY, DOCKET NO. APPLICATION NO. FORM PTO 1449 CONFIRMATION NO. 3698.D10 09/966.594 5653 APPLICANT LIST OF PATENTS AND PUBLICATIONS John S. HENDRICKS FOR APPLICANT'S INFORMATION FILING DATE GROUP DISCLOSURE STATEMENT October 1, 2001 2611 (Use several sheets if necessary) REFERENCE DESIGNATION U.S. PATENT DOCUMENTS EXAMINER DOCUMENT Pages, Columns, Lines Where Relevant PUBLICATION DATE NAME INITIAL NUMBER Passages or Figures Appear 5.793.872 August 11, 1998 Hirayama et al. 1R 5.833,468 November 10, 1998 Guy et al. RECEI/FD 1C 5.467.402 November 14, 1995 Okuyama et al. MAY 0 6 2004 1D 5.818.511 October 6, 1998 Farry et al. Technology Center 2600 1E 4,677,685 June 30, 1987 Kurisu 1F 6.201.536 March 13, 2001 Hendricks et al. 5.550.863 August 27, 1996 Yurt et al. 1H 4,279,035 July 14, 1981 Skerlos 11 4.887.308 December 12, 1989 Dutton 4.513.315 April 23 1985 Dekker et al. 1K 5.270.809 December 14, 1993 Gammie et al. 1L 5,115,309 May 19, 1992 Hang FOREIGN PATENT DOCUMENTS NAME OF Pages/Columns/Lines Check if DOCUMENT NUMBER PUBLICATION DATE PATENTEE OR Where Relevant Translation attached **APPLICANT** Passages/Figures Appea WO 01/11874 February 15, 2001 Lortz 1N WO 01/18665 March 15, 2001 Hendricks 10 WO 98/53581 November 26, 1998 Gaw et al 1P EP 0646856 April 5, 1995 Gaw et al. 10 GB 2255214A October 28, 1992 Dufresne et al. OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.) 1R 15

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	1A	5,083,205	Ja	nuary 21, 1	992		Arai	-		-		l
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	1C	5,386,241	Ja	nuary 31, 1	995		Park					T
	1D	5,208,660		May 4, 199	3	Γ	Yoshida	9		MAY	<del>0 6 2004</del>	İ
	1E	5,121,205		June 9, 199	2		Ng et al	l.	Te	chnolog	y Center	2600
	1F	5,579,522	Nov	vember 26,	1996		Christeson	et al.				
	1G	3,993,955	Nov	vember 23,	1976		Belcher et	: al.				
	1H	3,946,158	N	March 23, 19	76		Leclerq et	: al.				
	11	5,715,315	Fe	ebruary 3, 19	998		Handelm	an				
	1J	5,448,313	Se	ptember 5, 1	995		Kim et a	ıl.				
	1K	5,255,086	ō	ctober 19, 1	993	3 McMullan, Jr. et al.						
	1L	5,621,456	,	April 15, 199	7		Florin et	al.				
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	1M	WO 97/1248	6	April 3,	1997		Sleza	ak				
	1N	JP 0922719	3	August 8	3, 1997		Masat	eru				
	10	EP 0924629	9	June 23	, 1999		Your	ng				
	1P	EP 039004	1	October	3, 1990	)	lkeza	aki				
	1Q	WO 95/1565	8	June 8	1995		Hendrick	s et al.				
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	1A	5,412,720		May 2, 199	5		Hoarty					
	1B	4,924,303		May 8, 199	0		Brandon e	t al.	RECEI\			ED
	1C	4,974,252	Nov	ember 27,	1990		Osborne	e			0 6 200	
	1D	5,134,712		July 28, 199	2		Yamamo	to				
	1E	4,451,701		May 29, 198	4		Bendig		I	echnolo	gy Cente	r 2600
	1F	4,691,340	Se	ptember 1, 1	987		Maeda, et	al.				
	1G	5,307,481	,	April 26, 199	14		Shimazaki,	et al.				
	1H	4,896,354	Ja	nuary 23, 1	990		Inagaki, et	t al.				
	11	6,331,877	Dec	cember 18, 2	2001	E	Bennington,	et al.				
	1J	5,003,591	N	1arch 26, 19	91	91 Kauffman, et						
	1K	5,917,537		June 29, 199	99	Lightfoot, et a		t al.				
	1L	6,119,154	Sep	tember 12,	2000	000 Weaver, et		t al.				1
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	1M	JP 61-20258	7	March 5	, 1985		Sono	da				]
	1N	GB 2259830	)	March 2	4, 1993		Willi	s				
	10	WO 92/0480	1	March 1	9, 1992	!	Your	ng				
	1P	JP 3029456	5	February	7, 199	1	Kiyos	shi				
	1Q	WO 01/0168	9	January	4, 2001	1	McCoy,	et al.				
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	1A	5,819,301	C	october 6, 19	998 Rowe, et			al.				
	1B	5,727,065	N	March 10, 19	98	98 Dillon				ED		
	1C	5,844,890	De	cember 1, 1	998		Delp, et	al.		Γ-		
	1D	5,721,956	Fe	bruary 24, 1	998		Martin, et	al.		14		
	1E	5,588,139	De	cember 24,	1996		Lanier, et	al.	1	echnolo	gy Cente	r 2600
	1F	5,588,104	De	cember 24,	1996		Lanier, et	al.				
	1G	5,737,533					de Hon	nd				
	1H	5,745,710				8 Clanton, III,						
	11	5,774,122	4,122 June 30, 199				Kojima, et	al.				
	1J	5,260,788					Takano, e	t al.				
	1K	5,151,785	Sep	otember 29,	1992	992 Citta						
	1L	5,335,313	1	August 2, 19	994 Douglas			3				]
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	1M	WO 98/2890	)6	July 2,	1998		Ross	er				
	1N	EP 0 488 37	19	June 3,	1992		Takano,	et al.				
	10	EP 0 486 98	39	November	18, 19	91	Din	i				
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	1A	4,484,218	Nov	vember 20,	1984	Boland,	et al.				
	1B	5,054,071	0	ctober 1, 19	91	Baco	n		DEC		ח
	1C	3,794,923	Fe	bruary 26, 1	974	Ellis			RECEIVI		
	1D	4,509,198		April 2, 198	5	Nagate	omi		MAY 0 6 200		
	1E	5,754,938	- 1	May 19, 199	8	Herz, e	t al.	Te	echnolog	y Center	2600
	1F	01/0013123	Α	lugust 9, 20	01	Freeman	et al.				
	1G	5,848,396	De	cember 8, 1	998	Gera	се				
	1H	5,517,502	-	May 14, 199	6	Bestler,	et al.				
	11	5,745,556	,	April 28, 199	8	Rone	n				
	1J	5,809,204	Sep	eptember 15, 1998		Young,	et al.				
	1K	5,880,769	١	March 9, 199	9	Nemirofsky, et al.					
	1L	5,600,364	Fe	ebruary 4, 19	997	Hendricks					
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	1A	4,985,761	Ja	nuary 15, 19	91	Adams					
	1B	4,695,879	Sep	tember 22, 1	987	987 Weinblatt			RFC	EIVE	ח
	1C	5,029,232		July 2, 1991		Nall					
	1D	5,251,324	С	ctober 5, 19	93	McMullan	, Jr.			<del>0 6 2004</del>	
	1E	5,659,350	Α	ugust 19, 19	97	Hendricks,	et al.	Te	echnolog	y Center	260
	1F	6,539,548	N	larch 25, 200	)3	Hendricks,	et al.				
	1G	5,818,441	С	ctober 6, 19	98	Throckmorto	n, et al.				
	1H	01/0027493	С	ctober 4, 20	01	Wallac	е				
	11	6,138,147	0	ctober 24, 20	00	) Weaver, et al.					
	1J	5,835,087	No	vember 10, 1	998	Herz, et	al.				
	1K	6,486,892	No	vember 26, 2	002	Stern					
	1L	6,177,931	Ja	nuary 23, 20	01	Alexander,	et al.				
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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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	1A	5,490,247	Fe	ebruary 6, 19	1996 Tung, et al.							
	1B	5,493,568	Fe	bruary 20, 1	996	S	ampat, e	t al.	RECEIV			חּ
	1C	5,621,793		April 15, 199	97	Ве	dnarek,	et al.				1
	1D	6,182,050	Ja	nuary 30, 2	001		Ballard	1	MAY 0 6 20			
	1E	5,235,328	Α	ugust 10, 19	993		Kurita		Te	chnolog	y Center	2600
	1F	4,825,220		April 25, 198	39	E	Evans, et	al.				]
	1G	6,463,585	С	ctober 8, 20	002 Hendricks, et al.							1
	1H	02/0087980	2	Е	ldering, e	et al.				1		
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	1J	5,307,173		April 26, 199	94 Yuen et al.							1
	1K	6,016,141	Ja	nuary 18, 2	000 Knudson et al.						1	
	1L	4,646,150	Fe	bruary 24, 1	1987 Robbins, et			et al.				1
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	1A	4,907,082	March 6, 1990	0	Richard	s		
	1B 5,594,509 January 14, 199		97	Florin, et	al.	R	ECEIVE	
	1C	4,935,924	June 19, 1990	0	Baxter			MAY 0 6 2004
	1D	4,658,290	April 14, 1987 December 19, 1989		McKenna,	et al.	<b>T</b>	
	1E	4,888,638			Bohn		1 eci	nnology Center 26
	1F	5,488,409	January 30, 19	96	Yuen, et	al.		
	1G	5,408,258	April 18, 199	5	Kolessa	ır		
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/James Sheleheda/

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /J.S./

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